***Science and Engineering Practices (SEPs) General Guide***

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**Practice 1 – Asking Questions and Defining Problems**

Questions are the engine that drive science and engineering.

Science asks

• What exists and what happens?

• Why does it happen?

• How does one know?

Engineering asks

• What can be done to address a particular human need or want?

• How can the need be better specified?

• What tools and technologies are available, or could be developed, for addressing this need?

Both science and engineering ask

• How does one communicate about phenomena, evidence, explanations, and design solutions?

**GOALS – SEP 1**

By grade 12, students should be able to

• Ask questions about the natural and human-built worlds—for example: Why are there seasons? What do bees do? Why did that structure collapse? How is electric power generated?

• Distinguish a scientific question (e.g., Why do helium balloons rise?) from a nonscientific question (Which of these colored balloons is the prettiest?).

• Formulate and refine questions that can be answered empirically in a science classroom and use them to design an inquiry or construct a pragmatic solution.

• Ask probing questions that seek to identify the premises of an argument, request further elaboration, refine a research question or engineering problem, or challenge the interpretation of a data set—for example: How do you know? What evidence supports that argument?

• Note features, patterns, or contradictions in observations and ask questions about them.

• For engineering, ask questions about the need or desire to be met in order to define constraints and specifications for a solution.

**Practice 2 - Developing and Using Models**

Scientists construct mental and conceptual models of phenomena. Mental models are internal, personal, idiosyncratic, incomplete, unstable, and essentially functional. They serve the purpose of being a tool for thinking with, making predictions, and making sense of experience. Conceptual models, the focus of this section, are, in contrast, explicit representations that are in some ways analogous to the phenomena they represent. Conceptual models allow scientists and engineers to better visualize and understand a phenomenon under investigation or develop a possible solution to a design problem. Used in science and engineering as either structural, functional, or behavioral analogs, albeit simplified, conceptual models include diagrams, physical replicas, mathematical representations, analogies, and computer simulations. Although they do not correspond exactly to the more complicated entity being modeled, they do bring certain features into focus while minimizing or obscuring others. Because all models contain approximations and assumptions that limit the range of validity of their application and the precision of their predictive power, it is important to recognize their limitations.

Conceptual models are in some senses the external articulation of the mental models that scientists hold and are strongly interrelated with mental models. Building an understanding of models and their role in science helps students to construct and revise mental models of phenomena. Better mental models, in turn, lead to a deeper understanding of science and enhanced scientific reasoning.

Scientists use models (from here on, for the sake of simplicity, we use the term “models” to refer to conceptual models rather than mental models) to represent their current understanding of a system (or parts of a system) under study, to aid in the development of questions and explanations, and to communicate ideas to others [13]. Some of the models used by scientists are mathematical; for example, the ideal gas law is an equation derived from the model of a gas as a set of point masses engaged in perfectly elastic collisions with each other and the walls of the container—which is a simplified model based on the atomic theory of matter. For more complex systems, mathematical representations of physical systems are used to create computer simulations, which enable scientists to predict the behavior of otherwise intractable systems—for example, the effects of increasing atmospheric levels of carbon dioxide on agriculture in different regions of the world. Models can be evaluated and refined through an iterative cycle of comparing their predictions with the real world and then adjusting them, thereby potentially yielding insights into the phenomenon being modeled.

Engineering makes use of models to analyze existing systems; this allows engineers to see where or under what conditions flaws might develop or to test possible solutions to a new problem. Engineers also use models to visualize a design and take it to a higher level of refinement, to communicate a design’s features to others, and as prototypes for testing design performance. Models, particularly modern computer simulations that encode relevant physical laws and properties of materials, can be especially helpful both in realizing and testing designs for structures, such as buildings, bridges, or aircraft, that are expensive to construct and that must survive extreme conditions that occur only on rare occasions. Other types of engineering problems also benefit from use of specialized computer-based simulations in their design and testing phases. But as in science, engineers who use models must be aware of their intrinsic limitations and test them against known situations to ensure that they are reliable.

**GOALS – SEP 2**

By grade 12, students should be able to

• Construct drawings or diagrams as representations of events or systems—for example, draw a picture of an insect with labeled features, represent what happens to the water in a puddle as it is warmed by the sun, or represent a simple physical model of a real-world object and use it as the basis of an explanation or to make predictions about how the system will behave in specified circumstances.

• Represent and explain phenomena with multiple types of models—for example, represent molecules with 3-D models or with bond diagrams—and move flexibly between model types when different ones are most useful for different purposes.

• Discuss the limitations and precision of a model as the representation of a system, process, or design and suggest ways in which the model might be improved to better fit available evidence or better reflect a design’s specifications. Refine a model in light of empirical evidence or criticism to improve its quality and explanatory power.

• Use (provided) computer simulations or simulations developed with simple simulation tools as a tool for understanding and investigating aspects of a system, particularly those not readily visible to the naked eye.

• Make and use a model to test a design, or aspects of a design, and to compare the effectiveness of different design solutions.

**Practice 3 - Planning and Carrying Out Investigations**

Scientists and engineers investigate and observe the world with essentially two goals: (1) to systematically describe the world and (2) to develop and test theories and explanations of how the world works. In the first, careful observation and description often lead to identification of features that need to be explained or questions that need to be explored.

The second goal requires investigations to test explanatory models of the world and their predictions and whether the inferences suggested by these models are supported by data. Planning and designing such investigations require the ability to design experimental or observational inquiries that are appropriate to answering the question being asked or testing a hypothesis that has been formed. This process begins by identifying the relevant variables and considering how they might be observed, measured, and controlled (constrained by the experimental design to take particular values).

Planning for controls is an important part of the design of an investigation. In laboratory experiments, it is critical to decide which variables are to be treated as results or outputs and thus left to vary at will and which are to be treated as input conditions and hence controlled. In many cases, particularly in the case of field observations, such planning involves deciding what can be controlled and how to collect different samples of data under different conditions, even though not all conditions are under the direct control of the investigator.

Decisions must also be made about what measurements should be taken, the level of accuracy required, and the kinds of instrumentation best suited to making such measurements. As in other forms of inquiry, the key issue is one of precision—the goal is to measure the variable as accurately as possible and reduce sources of error. The investigator must therefore decide what constitutes a sufficient level of precision and what techniques can be used to reduce both random and systematic error.

**GOALS – SEP 3**

By grade 12, students should be able to

• Formulate a question that can be investigated within the scope of the classroom, school laboratory, or field with available resources and, when appropriate, frame a hypothesis (that is, a possible explanation that predicts a particular and stable outcome) based on a model or theory.

• Decide what data are to be gathered, what tools are needed to do the gathering, and how measurements will be recorded.

• Decide how much data are needed to produce reliable measurements and consider any limitations on the precision of the data.

• Plan experimental or field-research procedures, identifying relevant independent and dependent variables and, when appropriate, the need for controls.

• Consider possible confounding variables or effects and ensure that the investigation’s design has controlled for them.

**Practice 4 - Analyzing and Interpreting Data**

Once collected, data must be presented in a form that can reveal any patterns and relationships and that allows results to be communicated to others. Because raw data as such have little meaning, a major practice of scientists is to organize and interpret data through tabulating, graphing, or statistical analysis. Such analysis can bring out the meaning of data—and their relevance—so that they may be used as evidence.

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Engineers, too, make decisions based on evidence that a given design will work; they rarely rely on trial and error. Engineers often analyze a design by creating a model or prototype and collecting extensive data on how it performs, including under extreme conditions. Analysis of this kind of data not only informs design decisions and enables the prediction or assessment of performance but also helps define or clarify problems, determine economic feasibility, evaluate alternatives, and investigate failures.

Spreadsheets and databases provide useful ways of organizing data, especially large data sets. The identification of relationships in data is aided by a range of tools, including tables, graphs, and mathematics. Tables permit major features of a large body of data to be summarized in a conveniently accessible form, graphs offer a means of visually summarizing data, and mathematics is essential for expressing relationships between different variables in the data set (see Practice 5 for further discussion of mathematics). Modern computer-based visualization tools often allow data to be displayed in varied forms and thus for learners to engage interactively with data in their analyses. In addition, standard statistical techniques can help to reduce the effect of error in relating one variable to another.

**GOALS – SEP 4**

By grade 12, students should be able to

• Analyze data systematically, either to look for salient patterns or to test whether data are consistent with an initial hypothesis.

• Recognize when data are in conflict with expectations and consider what revisions in the initial model are needed.

• Use spreadsheets, databases, tables, charts, graphs, statistics, mathematics, and information and computer technology to collate, summarize, and display data and to explore relationships between variables, especially those representing input and output.

• Evaluate the strength of a conclusion that can be inferred from any data set, using appropriate grade-level mathematical and statistical techniques.

• Recognize patterns in data that suggest relationships worth investigating further. Distinguish between causal and correlational relationships.

• Collect data from physical models and analyze the performance of a design under a range of conditions.

**Practice 5 - Using Mathematics and Computational Thinking**

Mathematics and computational tools are central to science and engineering. Mathematics enables the numerical representation of variables, the symbolic representation of relationships between physical entities, and the prediction of outcomes. Mathematics provides powerful models for describing and predicting such phenomena as atomic structure, gravitational forces, and quantum mechanics.

Since the mid-20th century, computational theories, information and computer technologies, and algorithms have revolutionized virtually all scientific and engineering fields. These tools and strategies allow scientists and engineers to collect and analyze large data sets, search for distinctive patterns, and identify relationships and significant features in ways that were previously impossible. They also provide powerful new techniques for employing mathematics to model complex phenomena—for example, the circulation of carbon dioxide in the atmosphere and ocean.

Mathematics and computation can be powerful tools when brought to bear in a scientific investigation. Mathematics serves pragmatic functions as a tool—both a communicative function, as one of the languages of science, and a structural function, which allows for logical deduction. Mathematics enables ideas to be expressed in a precise form and enables the identification of new ideas about the physical world. For example, the concept of the equivalence of mass and energy emerged from the mathematical analysis conducted by Einstein, based on the premises of special relativity. The contemporary understanding of electromagnetic waves emerged from Maxwell’s mathematical analysis of the behavior of electric and magnetic fields. Modern theoretical physics is so heavily imbued with mathematics that it would make no sense to try to divide it into mathematical and nonmathematical parts. In much of modern science, predictions and inferences have a probabilistic nature, so understanding the mathematics of probability and of statistically derived inferences is an important part of understanding science.

Computational tools enhance the power of mathematics by enabling calculations that cannot be carried out analytically. For example, they allow the development of simulations, which combine mathematical representations of increasing students’ familiarity with multiple underlying phenomena to model the dynamics of a complex system. Computational methods are also potent tools for visually representing data, and they can show the results of calculations or simulations in ways that allow the exploration of patterns. The role of mathematics in science is central to developing a deeper understanding of how science works.

Engineering, too, involves mathematical and computational skills. For example, structural engineers create mathematical models of bridge and building designs, based on physical laws, to test their performance, probe their structural limits, and assess whether they can be completed within acceptable budgets. Virtually any engineering design raises issues that require computation for their resolution.

Although there are differences in how mathematics and computational thinking are applied in science and in engineering, mathematics often brings these two fields together by enabling engineers to apply the mathematical form of scientific theories and by enabling scientists to use powerful information technologies designed by engineers. Both kinds of professionals can thereby accomplish investigations and analyses and build complex models, which might otherwise be out of the question.

Mathematics (including statistics) and computational tools are essential for data analysis, especially for large data sets. The abilities to view data from different perspectives and with different graphical representations, to test relationships between variables, and to explore the interplay of diverse external conditions all require mathematical skills that are enhanced and extended with computational skills.

**GOALS – SEP 5**

By grade 12, students should be able to

• Recognize dimensional quantities and use appropriate units in scientific applications of mathematical formulas and graphs.

• Express relationships and quantities in appropriate mathematical or algorithmic forms for scientific modeling and investigations.

• Recognize that computer simulations are built on mathematical models that incorporate underlying assumptions about the phenomena or systems being studied.

• Use simple test cases of mathematical expressions, computer programs, or simulations—that is, compare their outcomes with what is known about the real world—to see if they “make sense.”

• Use grade-level-appropriate understanding of mathematics and statistics in analyzing data.

**Practice 6 - Constructing Explanations and Designing Solutions**

Because science seeks to enhance human understanding of the world, scientific theories are developed to provide explanations aimed at illuminating the nature of particular phenomena, predicting future events, or making inferences about past events. Science has developed explanatory theories, such as the germ theory of disease, the Big Bang theory of the origin of the universe, and Darwin’s theory of the evolution of species. Although their role is often misunderstood—the informal use of the word “theory,” after all, can mean a guess—scientific theories are constructs based on significant bodies of knowledge and evidence, are revised in light of new evidence, and must withstand significant scrutiny by the scientific community before they are widely accepted and applied. Theories are not mere guesses, and they are especially valued because they provide explanations for multiple instances.

In science, the term “hypothesis” is also used differently than it is in everyday language. A scientific hypothesis is neither a scientific theory nor a guess; it is a plausible explanation for an observed phenomenon that can predict what will happen in a given situation. A hypothesis is made based on existing theoretical understanding relevant to the situation and often also on a specific model for the system in question.

Scientific explanations are accounts that link scientific theory with specific observations or phenomena—for example, they explain observed relationships between variables and describe the mechanisms that support cause and effect inferences about them. Very often the theory is first represented by a specific model for the situation in question, and then a model-based explanation is developed. For example, if one understands the theory of how oxygen is obtained, transported, and utilized in the body, then a model of the circulatory system can be developed and used to explain why heart rate and breathing rate increase with exercise. Scientific theories are developed to provide explanations aimed at illuminating the nature of particular phenomena, predicting future events, or making inferences about past events.

Because scientists achieve their own understanding by building theories and theory-based explanations with the aid of models and representations and by drawing on data and evidence, students should also develop some facility in constructing model- or evidence-based explanations. This is an essential step in building their own understanding of phenomena, in gaining greater appreciation of the explanatory power of the scientific theories that they are learning about in class, and in acquiring greater insight into how scientists operate.

In engineering, the goal is a design rather than an explanation. The process of developing a design is iterative and systematic, as is the process of developing an explanation or a theory in science. Engineers’ activities, however, have elements that are distinct from those of scientists. These elements include specifying constraints and criteria for desired qualities of the solution, developing a design plan, producing and testing models or prototypes, selecting among alternative design features to optimize the achievement of design criteria, and refining design ideas based on the performance of a prototype or simulation.

**GOALS – SEP 6**

By grade 12, students should be able to

• Construct their own explanations of phenomena using their knowledge of accepted scientific theory and linking it to models and evidence.

• Use primary or secondary scientific evidence and models to support or refute an explanatory account of a phenomenon.

• Offer causal explanations appropriate to their level of scientific knowledge.

• Identify gaps or weaknesses in explanatory accounts (their own or those of others).

In their experience of engineering, students should have the opportunity to

• Solve design problems by appropriately applying their scientific knowledge.

• Undertake design projects, engaging in all steps of the design cycle and producing a plan that meets specific design criteria.

• Construct a device or implement a design solution.

• Evaluate and critique competing design solutions based on jointly developed and agreed-on design criteria.

**Practice 7 - Engaging in Argument from Evidence**

Whether they concern new theories, proposed explanations of phenomena, novel solutions to technological problems, or fresh interpretations of old data, scientists and engineers use reasoning and argumentation to make their case. In science, the production of knowledge is dependent on a process of reasoning that requires a scientist to make a justified claim about the world. In response, other scientists attempt to identify the claim’s weaknesses and limitations. Their arguments can be based on deductions from premises, on inductive generalizations of existing patterns, or on inferences about the best possible explanation. Argumentation is also needed to resolve questions involving, for example, the best experimental design, the most appropriate techniques of data analysis, or the best interpretation of a given data set.

In short, science is replete with arguments that take place both informally, in lab meetings and symposia, and formally, in peer review. Historical case studies of the origin and development of a scientific idea show how a new idea is often difficult to accept and has to be argued for—archetypal examples are the Copernican idea that Earth travels around the sun and Darwin’s ideas about the origin of species. Over time, ideas that survive critical examination even in the light of new data attain consensual acceptance in the community, and by this process of discourse and argument science maintains its objectivity and progress [28].

The knowledge and ability to detect “bad science” [29, 30] are requirements both for the scientist and the citizen. Scientists must make critical judgments about their own work and that of their peers, and the scientist and the citizen alike must make evaluative judgments about the validity of science-related media reports and their implications for people’s own lives and society [30]. Becoming a critical consumer of science is fostered by opportunities to use critique and evaluation to judge the merits of any scientifically based argument.

In engineering, reasoning and argument are essential to finding the best possible solution to a problem. At an early design stage, competing ideas must be compared (and possibly combined) to achieve an initial design, and the choices are made through argumentation about the merits of the various ideas pertinent to the design goals. At a later stage in the design process, engineers test their potential solution, collect data, and modify their design in an iterative manner. The results of such efforts are often presented as evidence to argue about the strengths and weaknesses of a particular design. Although the forms of argumentation are similar, the criteria employed in engineering are often quite different from those of science. For example, engineers might use cost-benefit analysis, an analysis of risk, an appeal to aesthetics, or predictions about market reception to justify why one design is better than another—or why an entirely different course of action should be followed.

**GOALS – SEP 7**

By grade 12, students should be able to

• Construct a scientific argument showing how data support a claim.

• Identify possible weaknesses in scientific arguments, appropriate to the students’ level of knowledge, and discuss them using reasoning and evidence.

• Identify flaws in their own arguments and modify and improve them in response to criticism.

• Recognize that the major features of scientific arguments are claims, data, and reasons and distinguish these elements in examples.

• Explain the nature of the controversy in the development of a given scientific idea, describe the debate that surrounded its inception, and indicate why one particular theory succeeded.

• Explain how claims to knowledge are judged by the scientific community today and articulate the merits and limitations of peer review and the need for independent replication of critical investigations.

• Read media reports of science or technology in a critical manner so as to identify their strengths and weaknesses.

**Practice 8 - Obtaining, Evaluating, and Communicating Information**

Being literate in science and engineering requires the ability to read and understand their literatures. Science and engineering are ways of knowing that are represented and communicated by words, diagrams, charts, graphs, images, symbols, and mathematics. Reading, interpreting, and producing text are fundamental practices of science in particular, and they constitute at least half of engineers’ and scientists’ total working time.

Even when students have developed grade-level-appropriate reading skills, reading in science is often challenging to students for three reasons. First, the jargon of science texts is essentially unfamiliar; together with their often extensive use of, for example, the passive voice and complex sentence structure, many find these texts inaccessible. Second, science texts must be read so as to extract information accurately. Because the precise meaning of each word or clause may be important, such texts require a mode of reading that is quite different from reading a novel or even a newspaper. Third, science texts are multimodal [38], using a mix of words, diagrams, charts, symbols, and mathematics to communicate. Thus understanding science texts requires much more than simply knowing the meanings of technical terms.

Communicating in written or spoken form is another fundamental practice of science; it requires scientists to describe observations precisely, clarify their thinking, and justify their arguments. Because writing is one of the primary means of communicating in the scientific community, learning how to produce scientific texts is as essential to developing an understanding of science as learning how to draw is to appreciating the skill of the visual artist. Indeed, the new Common Core State Standards for English Language Arts & Literacy in History/Social Studies, Science, and Technical Subjects recognize that reading and writing skills are essential to science; the formal inclusion in this framework of this science practice reinforces and expands on that view. Science simply cannot advance if scientists are unable to communicate their findings clearly and persuasively. Communication occurs in a variety of formal venues, including peer-reviewed journals, books, conference presentations, and carefully constructed websites; it occurs as well through informal means, such as discussions, email messages, phone calls, and blogs. New technologies have extended communicative practices, enabling multidisciplinary collaborations across the globe that place even more emphasis on reading and writing. Increasingly, too, scientists are required to engage in dialogues with lay audiences about their work, which requires especially good communication skills.

Being a critical consumer of science and the products of engineering, whether as a lay citizen or a practicing scientist or an engineer, also requires the ability to read or view reports about science in the press or on the Internet and to recognize the salient science, identify sources of error and methodological flaws, and distinguish observations from inferences, arguments from explanations, and claims from evidence. All of these are constructs learned from engaging in a critical discourse around texts.

Engineering proceeds in a similar manner because engineers need to communicate ideas and find and exchange information—for example, about new techniques or new uses of existing tools and materials. As in science, engineering communication involves not just written and spoken language; many engineering ideas are best communicated through sketches, diagrams, graphs, models, and products. Also in wide use are handbooks, specific to particular engineering fields, that provide detailed information, often in tabular form, on how best to formulate design solutions to commonly encountered engineering tasks. Knowing how to seek and use such informational resources is an important part of the engineer’s skill set.

**GOALS – SEP 8**

By grade 12, students should be able to

• Use words, tables, diagrams, and graphs (whether in hard copy or electronically), as well as mathematical expressions, to communicate their understanding or to ask questions about a system under study.

• Read scientific and engineering text, including tables, diagrams, and graphs, commensurate with their scientific knowledge and explain the key ideas being communicated.

• Recognize the major features of scientific and engineering writing and speaking and be able to produce written and illustrated text or oral presentations that communicate their own ideas and accomplishments.

• Engage in a critical reading of primary scientific literature (adapted for classroom use) or of media reports of science and discuss the validity and reliability of the data, hypotheses, and conclusions.

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